

1 **IAWG Charter Review 2023-11**

2 *NOTE: This charter review is intended to result in an updated IAWG Charter for 2024*

3 *This version was Approved by IAWG on December 16, 2021*

4 **IAWG CHARTER**

5 **WG NAME:**

6 Identity Assurance Work Group (IAWG)

7 "The Kantara Initiative Identity Assurance Working Group maintains the methods  
8 and mechanisms that improve the trustworthy use of identity and personal data  
9 allowing participants in the global federated identity and credential marketplace to  
10 trust each other for transactions."

11 **PURPOSE:**

12 The Kantara Initiative Identity Assurance Work Group (IAWG) undertakes to:

- 13 • be the steward for the management and evolution of the good practices  
14 contained in the Kantara Initiative Identity Assurance Framework (IAF);  
15 • determine, as requested by the Board of Directors, the applicability and  
16 equivalence of the Kantara Initiative Identity Assurance Framework and related  
17 programs to trade association, regional, national or international Trust  
18 Framework schemes;  
19 • analyze and validate IAF profiles that accommodate other Trust Framework  
20 schemes; and,  
21 • recommend acceptance, recognition or approval of the items listed  
22 previously to the Leadership Council or Board of Directors as appropriate.

23 *NOTE: The definitions of the IAF and IAWG will be reconciled to the versions contained in*  
24 *the IAF Glossary once the IAF Glossary update is complete.*

25 The IAF encompasses the framework, processes, criteria and program assurance  
26 specifications and tools by which identity federation service providers and their

27 assessors are measured. The IAF defines the operational parameters and rules of  
28 the Kantara Initiative Identity Assurance Assessment and Approval Program.

29 The IAWG maintains:

- 30 • the standards, processes, practices, guidance and methods by which  
31 participants in identity federations can establish trusted relationships,  
32 agreements and connections; and
- 33 • the techniques by which identity federation Service Providers, Brokers,  
34 Federation Operators and other participants gain assurance of the reliability,  
35 security, thoroughness and degree of assurance of each others' processes for  
36 identity and credential information verification, validation and exchange.

37 The IAWG creates value by:

- 38 • maintaining the currency and relevance of the IAF in response to regulatory  
39 and market requirements and pressures in order to:
- 40 • maintain the viability of Kantara Approvals and Accreditations to enable  
41 interparty trusted transactions;
- 42 • maintain a measurable set of criteria against which policies, processes and  
43 systems may be built;
- 44 • maintain standardized assessment policy and processes for auditors;
- 45 • increase consumer confidence in the federated identity and credential  
46 marketplace by successfully and repeatedly performing actions including, but not  
47 limited to, the ones identifies above;
- 48 • being an active forum for discussion of the IAF policies, processes and  
49 programs to increase understanding and uptake of the Kantara Trust Mark.

## 50 **SCOPE:**

51 The scope of IAWG is to:

- 52 • manage, maintain and review the IAF document set. The IAF document set  
53 can be found on the main Kantara web site;
- 54 • analyze non-Kantara Trust Framework schemes to determine and foster  
55 interoperability between those schemes and Kantara IAF;
- 56 • support the Kantara Initiative to foster adoption of the Kantara IAF; and

- 57 • engage other Kantara groups for expert input and guidance on topics  
58 covered by the IAF.

59 The focus of IAWG will be technology and vendor agnostic while being  
60 strategy/policy oriented.

61 **The following are not part of the scope of IAWG:**

- 62 • evaluation of technology or products to comply with particular identity  
63 assurance specifications – whether this is authentication technology, identity  
64 verification services, credentialing technologies, and the like; and  
65 • management or direct oversight of certification and assessment programs  
66 designed to facilitate compliance with the IAWG output.

67 **DRAFT TECHNICAL SPECIFICATIONS:**

68 As per the scope defined above the IAWG will not produce technical specifications.

69 **OTHER DRAFT RECOMMENDATIONS:**

70 The proposed Draft Recommendations of the IAWG are listed and described in the  
71 working group wiki space. The proposed Draft Recommendations list is reviewed no  
72 less than semi-annually by the IAWG.

73 **LEADERSHIP:**

74 The Kantara-approved Leadership Roles and the processes for elections are defined  
75 in the Kantara Initiative Operating Procedures.

76 The IAWG will have the following roles:

77 IAWG Chair – The responsibilities of the chair are: provide overall coordination,  
78 administrative oversight, public representation and decision-making ability over  
79 certain topics. This position will be elected by the members of the group in  
80 accordance with the Kantara Initiative Operating Procedures and ByLaws.

81 IAWG Vice-Chair – The Vice Chair will lead specific areas within the work group as  
82 scoped by the Chair. The Vice Chair will be responsible for successful completion of

***NOTE: The line numbers in this PDF version will be used for all WG discussions.***

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83 work and deliverables within the specific scope of the area of focus. The  
84 requirement is to ensure quality review and feedback to the IAF from a technical  
85 implement-ability perspective and facilitate appropriate liaisons with outside  
86 technical groups and to ensure quality review and feedback to the IAF and facilitate  
87 appropriate liaisons with outside policy groups.

88 IAWG Secretary – The duties and responsibilities of the secretary include but are not  
89 limited to:

90 • preparing and maintaining a summary report of each group meeting which  
91 shall include but are not limited to:

92 • meeting attendees;

93 • issues for which sufficient consensus was reached at the meeting; •

94 dissenting opinions raised regarding consensus issues; and

95 • an issues list of currently known unresolved issues.

96 • maintaining an action item list which identifies action assignments and their  
97 status;

98 • maintaining attendance and membership records; and

99 • distributing the meeting agenda.

100 IAWG Task Leader – There could be as many of these leaders as deemed  
101 appropriate by the group in order to complete specific tasks. These roles will be  
102 held by volunteer group members, appointed by the Chair. The Task Leader is  
103 responsible for successful completion of work and deliverables assigned. The Task  
104 Leader's terms of reference and duration will be established on appointment and  
105 will be driven by the focus of the task.

106 The leadership team as of December 16, 2021 is:

107 IAWG Chair: Kenneth Dagg

108 Vice-Chair: Martin Smith

109 Secretary: Lynzie Adams

110 (A) AUDIENCE:

111 • Credential Service Providers

112 • Federation Operators

113 • Relying Parties

- 114 • Policy Makers
- 115 • Assessors
- 116 • International standards development organizations focused on identity
- 117 management
- 118 • Industry consortia and communities of interest focused on either a specific
- 119 identity management technology or an industry segment building
- 120 recommendations for identity management best practice

121 **DURATION:**

122 The IAWG is chartered to be an ongoing Work Group in the Kantara Initiative to  
123 maintain the Recommendations it produces over time. The charter will be reviewed  
124 and, if deemed necessary, revised on an annual basis. Its charter may be amended  
125 from time to time, with changes approved by the Leadership Council.

126 **IPR POLICY:**

127 Kantara Initiative IPR (Intellectual Property Rights) Option: Patent & Copyright:  
128 Reciprocal Royalty Free with Opt-Out to Reasonable And Non-discriminatory (RAND)

129 **RELATED WORK AND LIAISONS:**

130 Part of the mission and goal of IAWG is the harmonization and collaboration with  
131 other industry and standards organizations that have synergy with identity  
132 assurance concepts. Therefore it is integral to the success of IAWG, that it maintains  
133 active communications, collaboration, contribution and liaison with groups including  
134 but not limited to:

- 135 • Industry Consortia: ICF, OI DF, OASIS, GSA-FICAM, EHNAC, DirectTrust, NSTIC
- 136 IDESG
- 137 • ISO SC27
- 138 • ITU-T SG17
- 139 • INCITS CS1
- 140 • ANSI IDSP (Identity Proofing Standards)
- 141 • tScheme

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- 142 • Healthcare Information and Management Systems Society (HIMSS)
- 143 • InCommon
- 144 • TERENA – Trans-European Research and Education Networking Association
- 145 • ETSI ESI (Electronic Signatures and Infrastructure) Technical Committee
- 146 • Kantara Assurance Review Board
- 147 • Kantara Work Groups and Discussion Groups

148 **CONTRIBUTIONS (optional):**

149 The Kantara IAF used the following documents as original input:

150 Identity Assurance Framework Set (version 1.1 created by Liberty IAEG in 2008)

151 Identity Assurance Framework - Read Me (created by Liberty IAEG in 2008)

152 **PROPOSERS:**

153 The original proposers were:

154 Myisha Frazier-Mcelveen, CitiGroup

155 Rich Furr, SAFE Bio-Pharma

156 Nigel Tedeschi, British Telecom

157 Frank Villavicencio, NetStar-1

158 **Revision History**

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Date	Summary of Changes
2021-12-16	Updated current leadership. No other changes.

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