1   IAWG Charter Review 2023-11

2   *NOTE: This charter review is intended to result in an updated IAWG Charter for 2024*

3   *This version was Approved by IAWG on December 16, 2021*

4   IAWG CHARTER

5   WG NAME:

6   Identity Assurance Work Group (IAWG)

7   "The Kantara Initiative Identity Assurance Working Group maintains the methods
8   and mechanisms that improve the trustworthy use of identity and personal data
9   allowing participants in the global federated identity and credential marketplace to
10   trust each other for transactions."

11   PURPOSE:

12   The Kantara Initiative Identity Assurance Work Group (IAWG) undertakes to:

13   •   be the steward for the management and evolution of the good practices
14   contained in the Kantara Initiative Identity Assurance Framework (IAF);
15   •   determine, as requested by the Board of Directors, the applicability and
16   equivalence of the Kantara Initiative Identity Assurance Framework and related
17   programs to trade association, regional, national or international Trust
18   Framework schemes;
19   •   analyze and validate IAF profiles that accommodate other Trust Framework
20   schemes; and,
21   •   recommend acceptance, recognition or approval of the items listed
22   previously to the Leadership Council or Board of Directors as appropriate.

23   *NOTE: The definitions of the IAF and IAWG will be reconciled to the versions contained in*
24   *the IAF Glossary once the IAF Glossary update is complete.*

25   The IAF encompasses the framework, processes, criteria and program assurance
26   specifications and tools by which identity federation service providers and their

27 assessors are measured. The IAF defines the operational parameters and rules of
28 the Kantara Initiative Identity Assurance Assessment and Approval Program.

29 The IAWG maintains:

30 • the standards, processes, practices, guidance and methods by which
31 participants in identity federations can establish trusted relationships,
32 agreements and connections; and
33 • the techniques by which identity federation Service Providers, Brokers,
34 Federation Operators and other participants gain assurance of the reliability,
35 security, thoroughness and degree of assurance of each others' processes for
36 identity and credential information verification, validation and exchange.

37 The IAWG creates value by:

38 • maintaining the currency and relevance of the IAF in response to regulatory
39 and market requirements and pressures in order to:
40 • maintain the viability of Kantara Approvals and Accreditations to enable
41 interparty trusted transactions;
42 • maintain a measurable set of criteria against which policies, processes and
43 systems may be built;
44 • maintain standardized assessment policy and processes for auditors;
45 • increase consumer confidence in the federated identity and credential
46 marketplace by successfully and repeatedly performing actions including, but not
47 limited to, the ones identifies above;
48 • being an active forum for discussion of the IAF policies, processes and
49 programs to increase understanding and uptake of the Kantara Trust Mark.

50 **SCOPE:**

51 The scope of IAWG is to:

52 • manage, maintain and review the IAF document set. The IAF document set
53 can be found on the main Kantara web site;
54 • analyze non-Kantara Trust Framework schemes to determine and foster
55 interoperability between those schemes and Kantara IAF;
56 • support the Kantara Initiative to foster adoption of the Kantara IAF; and

57 • engage other Kantara groups for expert input and guidance on topics
58 covered by the IAF.

59 The focus of IAWG will be technology and vendor agnostic while being
60 strategy/policy oriented.

61 The following are not part of the scope of IAWG:

62 • evaluation of technology or products to comply with particular identity
63 assurance specifications – whether this is authentication technology, identity
64 verification services, credentialing technologies, and the like; and

65 • management or direct oversight of certification and assessment programs
66 designed to facilitate compliance with the IAWG output.

DRAFT TECHNICAL SPECIFICATIONS:

67 **DRAFT TECHNICAL SPECIFICATIONS:**
68 As per the scope defined above the IAWG will not produce technical specifications.

OTHER DRAFT RECOMMENDATIONS:

69 **OTHER DRAFT RECOMMENDATIONS:**
70 The proposed Draft Recommendations of the IAWG are listed and described in the
71 working group wiki space. The proposed Draft Recommendations list is reviewed no
72 less than semi-annually by the IAWG.

LEADERSHIP:

73 **LEADERSHIP:**
74 The Kantara-approved Leadership Roles and the processes for elections are defined
75 in the Kantara Initiative Operating Procedures.

76 The IAWG will have the following roles:

77 IAWG Chair – The responsibilities of the chair are: provide overall coordination,
78 administrative oversight, public representation and decision-making ability over
79 certain topics. This position will be elected by the members of the group in
80 accordance with the Kantara Initiative Operating Procedures and ByLaws.

81 IAWG Vice-Chair – The Vice Chair will lead specific areas within the work group as
82 scoped by the Chair. The Vice Chair will be responsible for successful completion of

83   work and deliverables within the specific scope of the area of focus. The

84   requirement is to ensure quality review and feedback to the IAF from a technical

85   implement-ability perspective and facilitate appropriate liaisons with outside

86   technical groups and to ensure quality review and feedback to the IAF and facilitate

87   appropriate liaisons with outside policy groups.

88   IAWG Secretary – The duties and responsibilities of the secretary include but are not

89   limited to:

90   •   preparing and maintaining a summary report of each group meeting which

91   shall include but are not limited to:

92   •   meeting attendees;

93   •   issues for which sufficient consensus was reached at the meeting; •

94   dissenting opinions raised regarding consensus issues; and

95   •   an issues list of currently known unresolved issues.

96   •   maintaining an action item list which identifies action assignments and their

97   status;

98   •   maintaining attendance and membership records; and

99   •   distributing the meeting agenda.

100   IAWG Task Leader – There could be as many of these leaders as deemed

101   appropriate by the group in order to complete specific tasks. These roles will be

102   held by volunteer group members, appointed by the Chair. The Task Leader is

103   responsible for successful completion of work and deliverables assigned. The Task

104   Leader's terms of reference and duration will be established on appointment and

105   will be driven by the focus of the task.

106   The leadership team as of December 16, 2021 is:

107   IAWG Chair: Kenneth Dagg

108   Vice-Chair: Martin Smith

109   Secretary: Lynzie Adams

110   (.) AUDIENCE:

111   •   Credential Service Providers

112   •   Federation Operators

113   •   Relying Parties

114   •   Policy Makers

115   •   Assessors

116   •   International standards development organizations focused on identity

117   management

118   •   Industry consortia and communities of interest focused on either a specific

119   identity management technology or an industry segment building

120   recommendations for identity management best practice

121   **DURATION:**

122   The IAWG is chartered to be an ongoing Work Group in the Kantara Initiative to

123   maintain the Recommendations it produces over time. The charter will be reviewed

124   and, if deemed necessary, revised on an annual basis. Its charter may be amended

125   from time to time, with changes approved by the Leadership Council.

126   **IPR POLICY:**

127   Kantara Initiative IPR (Intellectual Property Rights) Option: Patent & Copyright:

128   Reciprocal Royalty Free with Opt-Out to Reasonable And Non-discriminatory (RAND)

129   **RELATED WORK AND LIAISONS:**

130   Part of the mission and goal of IAWG is the harmonization and collaboration with

131   other industry and standards organizations that have synergy with identity

132   assurance concepts. Therefore it is integral to the success of IAWG, that it maintains

133   active communications, collaboration, contribution and liaison with groups including

134   but not limited to:

135   •   Industry Consortia: ICF, OIDF, OASIS, GSA-FICAM, EHNAC, DirectTrust, NSTIC

136   IDESG

137   •   ISO SC27

138   •   ITU-T SG17

139   •   INCITS CS1

140   •   ANSI IDSP (Identity Proofing Standards)

141   •   tScheme

142   •   Healthcare Information and Management Systems Society (HIMSS)

143   •   InCommon

144   •   TERENA – Trans-European Research and Education Networking Association

145   •   ETSI ESI (Electronic Signatures and Infrastructure) Technical Committee

146   •   Kantara Assurance Review Board

147   •   Kantara Work Groups and Discussion Groups

148   **CONTRIBUTIONS (optional):**

149   The Kantara IAF used the following documents as original input:

150   Identity Assurance Framework Set (version 1.1 created by Liberty IAEG in 2008)

151   Identity Assurance Framework - Read Me (created by Liberty IAEG in 2008)

152   **PROPOSERS:**

153   The original proposers were:

154   Myisha Frazier-Mcelveen, CitiGroup

155   Rich Furr, SAFE Bio-Pharma

156   Nigel Tedeschi, British Telecom

157   Frank Villavicencio, NetStar-1

158   **Revision History**

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Date	Summary of Changes
2021-12-16	Updated current leadership. No other changes.

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